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PATENT TRADEMARK OFFICE

NCI-061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

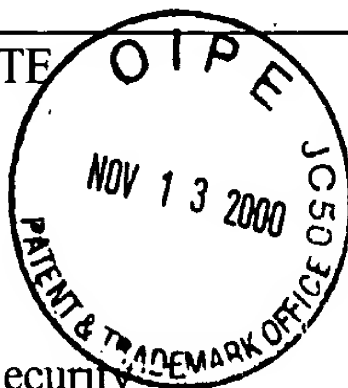
Applicant(s): Luis VALENTE

Serial No.: 09/330,274

Filed: June 11, 1999

Title: Hierarchical Open Security
Information Delegation and
Acquisition

Attorney Docket No.: NCI-061



Group Art Unit: Not Assigned

Examiner: Not Assigned

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NOV 15 2000

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

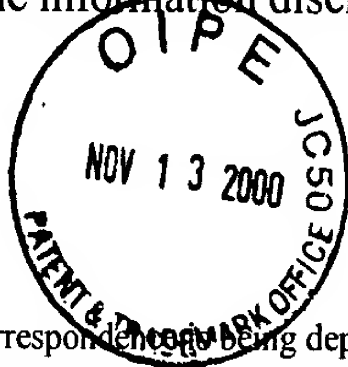
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Certification under 37 CFR 1.97(e), or
 - ☐ a \$240.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO SB/1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.



Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C.

John C. Merchant
John C. Merchant

Attorney/Agent for Applicant(s)
Reg. No. 45,996

Date of Deposit: 11.07.00

Typed Name: Arlette Malhas

Date: 11-6-00

Signature: Arlette Malhas

Telephone No.: 650-947-0700 ext 309



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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/330,274

Filing Date June 11, 1999

First Named Inventor Luis VALENTE

Group Art Unit Not Assigned

Examiner Name Not Assigned

Total Number of Pages in This Submission 66

Attorney Docket Number NCI-061

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ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Response
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/ Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
☐ To Convert a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Small Entity Statement
☐ Request for Refund

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Additional Enclosure(s) (please identify below):

Form 1449; cited art; and
Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

John C. Merchant

Reg. No. 45,996

Signature

Date

11-6-00

CERTIFICATE OF MAILING

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Typed or printed name Arlette Malhas

Signature

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